

**APPLICATION FORM THE TRANSMISSION OF SHARES  
TO THE LEGAL HEIRS**

(To be filled)

1. Name of the deceased shareholder :
2. Age :
3. Date and place of death :
4. Father/Husband's name of the deceased :
5. Nationality :
6. Last Address :
7. Occupation of the deceased :
8. Particulars of shares held by the Deceased :

Sl no.	Folio No.	Script No.	No of shares	Other Joint shareholdings If any
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9. Legal heirs of the deceased :

Sl. No.	Name and Address	Age	Relationship	Occupation If any	Folio No.
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10. Transmission requested in favour of :

11. Has the deceased left a Will :

12. Has any application been made for obtaining the probate of the Will?  
If so, please furnish the details of Application and result thereon. If not please give reason as to why an application was not made :

13. Has any application been made to a  
Competent court for grant to a  
Succession Certificate? If not, please  
state why such an application was not  
made :

14. Please enclose the following :

- a. Certified copy of the death certificate :
- b. Certified copy of the legal Heir ship :
- c. Certificate issued by the Tahsildar/  
District Revenue authority :
- d. Certified copy of the Will/Probated  
Will/ Succession Certificate/Letter of  
Administration Granted by a  
Competent court :
- e. Share Certificates :
- f. Self-attested copy of PAN Card of the  
(Legal heir in whose name of the shares  
have to be transmitted ) :
- g. Unclaimed dividend warrants, if any :
- h. Any other information/document in  
in support of the claim :
- i. Residential Proof :

I/We, \_\_\_\_\_ solemnly declare that the  
information furnished in the application form are true to the best of my knowledge and belief.

Place:

(SIGNATURE OF THE APPLICANT)

Date :

Name :

INDEMNITY BOND FOR TRANSMISSION  
(On non-judicial Stamp paper of Rs.100/-)

To,

Name and address of the company

\_\_\_\_\_ Equity shares having Distinctive Numbers from \_\_\_\_\_ to \_\_\_\_\_ of the face value of Rs. \_\_\_\_\_ each are standing registered in the name of the late \_\_\_\_\_ in the books of \_\_\_\_\_ Shri/Smt \_\_\_\_\_ who died on \_\_\_\_\_

leaving behind him/her the following:-

- i.
- ii.
- iii.
- iv.

The above mentioned shares were the separate and self-acquired property of the deceased under the Hindu Succession Act, 1956 the Mohammedian Law which is applicable to the persons mentioned above are his/her only heirs and are entitled to inherit jointly the aforesaid shares.

I/We, therefore, request, the \_\_\_\_\_ to transmit these aforesaid \_\_\_\_\_ shares standing registered in the name of the late Shri/Smt. \_\_\_\_\_ Singly in the name of ----- / jointly in our names and also to pay to us dividend payable by the company without production of succession certificate or letters of administration or probate.

In consideration of \_\_\_\_\_ having agreed to comply with my /our above request on our executing a bond in favour of the company, I/we, the applicants, hereunder for ourselves, our heirs, executors, administrators and assigns do hereby jointly and severally covenant with the company, its successors and assigns that we and each of our heirs, executors, administrators and assigns will, on the company transferring the said shares into our names and paying to us the dividends already due, at all times save, defend and keep indemnified the company its successors and assigns, its estate and effects, and the Directors, Manager, Company Secretary and Shareholders of the company, their heirs, executors and assigns, from and against all actions, causes of actions, suits, proceedings, accounts, claims and demands whatsoever for or an account of the said share or the dividends or any part thereof, or otherwise in connection with the same, and from and against all claims, damages, costs, charges, expenses and losses arising in any manner howsoever.

In witness whereof, we, the applicants herein have signed and set our hands this \_\_\_\_\_ day of \_\_\_\_\_ two thousand only.

Signature of Witness No.1

Signature

Name and full address

Signature of Witness No.2

Name and full address

PLACE:

DATE :

Signature(s) of Deponent(s)

We, the undersigned, certify that the above facts are true and bind ourselves as sureties to make good all claims, charges, costs, damages, demands, expenses and losses which the said company may sustain, incur or become liable for in consequence of complying with the request contained above and the company will be entitled to realize all claims, charges, costs, damages, demands, expenses and losses from our persons or our properties, as the case may be.

Signature of Witness No.1  
Name and full address

Signature of Surety No.1  
Name and full address

Signature of witness No.2  
Name and full address

Signature of Surety No.2  
Name and full address

PLACE:

DATE:

AFFIDAVIT BY LEGAL HEIRS  
(On non-judicial Stamp paper of Rs.50/-)

I/we \_\_\_\_\_ son(s) daughter(s) of \_\_\_\_\_ resident of \_\_\_\_\_ do solemnly swear and sincerely state as under:

1. That \_\_\_\_\_ Equity shares having Distinctive Nos. from \_\_\_\_\_ to \_\_\_\_\_ of the face value of Rs. \_\_\_\_\_ each are standing registered in the name of late Shri/Smt \_\_\_\_\_ in the books \_\_\_\_\_.
2. That Shri/Smt \_\_\_\_\_ died on the \_\_\_\_\_.
3. That Shri/Smt \_\_\_\_\_ left behind the following heirs.

Name	Relation to deceased	Age
i)		
ii)		
iii)		
iv)		
v)		
4. That the above mentioned shares were the separate and self- acquired property of the deceased.
5. That under the Hindu Succession Act, 1956 the Mohammedian Law which is applicable to the persons mentioned in paragraph 3 are entitled to inherit the aforesaid shares jointly.
6. That the deceased Shri/Smt \_\_\_\_\_ left no other heir then those mentioned in paragraph 3 of this affidavit and that the person(s) mentioned in the said paragraph 3 is/are his/her only heir(s).
7. That I/we, therefore request the \_\_\_\_\_ to transmit the aforesaid equity shares standing registered in the name of late Shri/Smt. \_\_\_\_\_ in my/our name(s) (Jointly) without production of succession certificate or letters of administration or probate.

Signature(s) of Deponent(s)

VERIFICATION

I/we, the above named person(s), verify that the statements contained in the above paragraphs are true to the best of my/our knowledge and belief and that nothing material has been concealed.

PLACE:  
DATE:

Signature(s) of Deponent(s)

## FORM OF PARTICULARS OF LEGAL HEIRS

Form to be filled in by a person or persons claiming title to the shares of a deceased shareholder without presenting a succession certificate or letters of administration or probate.

1. Full Name of the deceased shareholder(s).
2. Date of death of the deceased according to English Calendar.
3. Did the deceased leave any of the following relation(s) and, if so, give their names, age and present addresses.
  - i. Widow
  - ii. Son/Grand-son whose father is dead,
  - iii. great-grand-son whose father and grandfather are dead (in case of minors give the names of guardians)
  - iv. Widow of any predeceased son/ Grandson or Great-grand-son.
  - v. Daughter (state whether married or unmarried)
  - vi. Daughter's Son
  - vii. Mother
  - viii. Father
  - ix. Brothers or Sisters
4. Was the deceased a member of a Hindu Undivided family? If so, give names, ages and present addresses of other members of the Hindu Undivided Family.
5. Were the shares held by the deceased in the company are his/her self-acquired and separate property or property of the Hindu Joint Family of which the deceased was a member?
6. Did the deceased leave behind a will or any other testamentary instrument disposing of his property including the shares in question? If so, please send along with this form a certified true copy of the same.
7. By what law of succession is the family of the deceased governed.

8. In whose possession are the certificates of the shares of the deceased ?
9. Any other information which the applicant(s) desire(s) to give in support of his/her/ their claim to the shares of the deceased.

Signature of the applicant.

Date:

Address:

Note: The delivery to the company of this duly filled in shall not, in any way, prejudice the company's right to insist on production of a succession certificate or letters of administration or a probate in respect of the shares of the deceased share-holder.

NOTE:

1. In case of joint holding all the shareholders should sign in all pages.
2. Strike out whichever is not applicable.
3. The Affidavit should be verified in the presence of a First Class Magistrate or a Notary Public. In the event of verification, in the presence of a Notary Public, the Affidavit should contain the Notarial Stamp.
4. To be signed by all the shareholders at the bottom of all pages.